



TRANSMITTAL FORM

Application Serial Number	10/068,461
Filing Date	February 6, 2002
First Named Inventor	Bloomfield
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-077
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (1 pg.) <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg.) <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]		
<input type="checkbox"/> Petition for Extension of Time		
<input checked="" type="checkbox"/> Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> Form PTO-1449 (7 pgs.) <input type="checkbox"/> Copies of IDS Citations		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: July 30, 2002
Reg. No. 50,389
Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100
Robert S. Blasi, Esq.
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



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PATENT
Attorney Docket No. CTX-077
(1545/131)

2152
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

APPLICANTS: Bloomfield et al.

SERIAL NO.: 10/068,461

GROUP NO.:

2152

FILING DATE: February 6, 2002

EXAMINER:

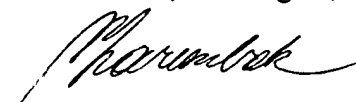
Not Yet Assigned

TITLE: Interacting With Software Applications Displayed in a Web Page

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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this 30th day of July, 2002.



Irja Zarembok

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Information Disclosure Statement (2 pgs.);
PTO Form 1449 (7 pgs.); and
A Return Receipt Postcard.

2459628



PATENT
Attorney Docket No. CTX-077
(1545/131)

#5
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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accord with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Per 37 C.F.R. 1.98(d), these patents and publications were previously submitted to, or cited by, the Office in U.S. Patent Applications Serial Nos. 09/086,898, 08/855,977, and 09/247,220, which are relied upon in the present case for an earlier effective filing date under 35 U.S.C. §120, and, therefore, paper copies of these references are not enclosed. Should the Examiner require paper copies of some or all of the references, the undersigned may be contacted at the telephone number listed below.

REMARKS

In accord with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

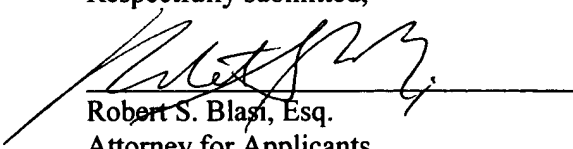
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: July 30, 2002
Reg. No. 50,389

Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100

2459625


Robert S. Blasi, Esq.
Attorney for Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-077 (1545/131)

INFORMATION DISCLOSURE STATEMENT

APPLICANTS: Bloomfield et al.

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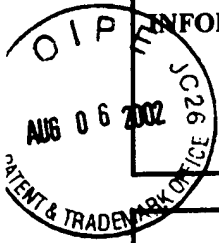
U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	Re. 32,632	03/29/88	Atkinson	340	709	12/20/85
	A2	4,387,425	06/07/83	El-Gohary	364	200	05/19/86
	A3	4,499,499	2/12/85	Brickman et al.	358	263	12/29/82
	A4	4,779,189	10/18/88	Legvold et al.	364	200	07/28/85
	A5	4,860,247	08/22/89	Uchida et al.	364	900	02/18/87
	A6	4,887,204	12/12/89	Johnson et al.	364	200	2/13/87
	A7	4,903,218	02/20/90	Longo et al.	364	521	08/13/87
	A8	4,937,036	06/26/90	Beard et al.	340	706	03/21/88
	A9	4,937,784	6/26/90	Masai et al.	364	900	6/2/87
	A10	4,949,281	08/14/90	Hillenbrand et al.	364	518	04/19/88
	A11	4,958,303	09/18/90	Assarpour et al.	364	521	05/12/88
	A12	5,014,221	5/7/91	Mogul	364	519	1/29/88
	A13	5,031,089	7/9/91	Liu et al.	364	200	12/30/88
	A14	5,072,412	12/10/91	Henderson, Jr. et al.	395	159	03/25/87
	A15	5,103,303	04/07/92	Shoji et al.	358	75	02/13/91
	A16	5,119,319	06/02/92	Tanenbaum	364	514	12/14/89
	A17	5,155,847	10/13/92	Kirouac et al.	395	600	08/03/88
	A18	5,175,852	12/29/92	Johnson et al.	395	600	10/4/89
	A19	5,187,790	2/16/93	East et al.	395	725	4/21/92
	A20	5,202,971	4/13/93	Henson et al.	395	425	12/17/90
	A21	5,204,897	04/20/93	Wyman	380	4	07/14/92
	A22	5,231,697	07/27/93	Yamada	395	142	04/20/90
	A23	5,233,701	8/3/93	Nakata	395	425	3/29/89
	A24	5,241,625	08/31/93	Epard et al.	395	163	11/27/90
	A25	5,247,683	09/21/93	Holmes et al.	395	700	06/19/91
	A26	5,249,290	8/28/93	Heizer	395	650	2/22/91

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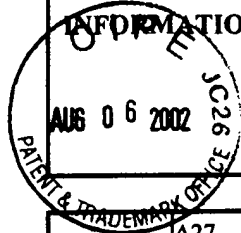
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A27	5,305,440	04/19/94	Morgan et al.	395	200	06/12/92
A28	5,309,555	05/03/94	Akins et al.	395	157	05/14/92
A29	5,325,527	6/28/94	Cwikowski et al.	395	650	1/19/93
A30	5,329,619	6/12/94	Page et al.	395	200	10/30/92
A31	5,341,477	8/23/94	Pitkin et al.	395	200	8/6/93
A32	5,341,478	8/23/94	Travis, Jr. et al.	395	200	11/3/93
A33	5,351,129	09/27/94	Lai	348	584	03/24/92
A34	5,367,688	11/22/94	Croll	395	700	4/15/94
A35	5,414,457	05/09/95	Kadowaki et al.	348	14	12/26/91
A36	5,440,719	08/08/95	Hanes et al.	395	500	10/27/92
A37	5,457,797	10/10/95	Butterworth et al.	395	650	03/22/95
A38	5,461,608	10/24/95	Yoshiyama	370	16.1	06/30/94
A39	5,469,540	11/21/95	Powers, III et al.	395	158	01/27/93
A40	5,473,599	12/5/95	Li et al.	370	16	4/22/94
A41	5,483,466	01/09/96	Kawahara et al.	364	514	11/15/93
A42	5,485,460	1/16/96	Schrier et al.	370	94.1	8/19/94
A43	5,499,343	3/12/96	Pettus	395	200.2	12/17/93
A44	5,515,508	5/7/96	Pettus et al.	395	200.01	12/17/93
A45	5,517,617	05/14/96	Sathaye et al.	395	200.1	06/29/94
A46	5,526,492	6/11/96	Ishida	395	200.09	12/23/94
A47	5,530,852	06/25/96	Meske, Jr. et al.	395	600	12/20/94
A48	5,537,546	07/16/96	Sauter	395	200.01	10/23/95
A49	5,537,548	07/16/96	Fin et al.	395	200.04	06/14/94
A50	5,541,927	07/30/96	Kristol et al.	370	94.2	08/24/94
A51	5,548,726	8/20/96	Pettus	395	200.09	12/17/93
A52	5,553,242	9/3/96	Russell et al.	395	200.12	11/3/93
A53	5,557,732	9/17/96	Thompson	395	161	8/11/94
A54	5,557,748	9/17/96	Norris	395	200.10	2/3/95

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INFORMATION DISCLOSURE STATEMENT

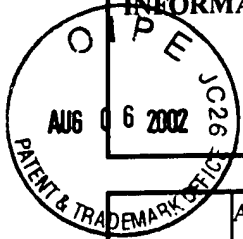
ATTORNEY DOCKET NO.: CTX-077 (1545/131)

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A55	5,561,769	10/01/96	Kumar et al.	395	200.05	09/05/95
A56	5,566,302	10/15/96	Khalidi et al.	395	200.09	07/29/94
A57	5,572,643	11/05/96	Judson	395	793	10/19/95
A58	5,572,674	11/5/96	Ernst	395	200.1	10/16/95
A59	5,579,469	11/26/96	Pike	395	326	10/19/95
A60	5,583,563	12/10/96	Wanderscheid et al.	348	13	1/12/95
A61	5,583,992	12/10/96	Kudo	395	200.03	08/08/94
A62	5,586,312	12/17/96	Johnson et al.	395	610	10/11/94
A63	5,592,626	01/07/97	Papadimitriou et al.	395	200.09	05/19/94
A64	5,594,490	01/14/97	Dawson et al.	348	6	05/23/94
A65	5,596,745	01/21/97	Lai et al.	395	614	05/16/94
A66	5,606,493	02/25/97	Duscher et al.	364	134	01/03/95
A67	5,619,716	04/08/97	Nonaka et al.	395	800	06/06/95
A68	5,623,656	04/22/97	Lyons	395	610	12/15/94
A69	5,644,720	07/01/97	Boll et al.	395	200.12	07/31/95
A70	5,657,390	8/12/97	Elgamal et al.	380	49	8/25/95
A71	5,680,549	10/21/97	Raynak et al.	395	200.12	12/30/94
A72	5,701,451	12/23/97	Rogers et al.	395	600	06/07/95
A73	5,706,437	1/6/98	Kirchner et al.	395	200.12	12/29/95
A74	5,710,918	01/20/98	Lagarde et al.	395	610	06/07/95
A75	5,721,876	2/24/98	Yu et al.	395	500	3/30/95
A76	5,734,865	03/31/98	Yu	395	500	06/27/95
A77	5,737,592	04/07/98	Nguyen et al.	395	604	06/19/95
A78	5,742,778	4/98	Hao et al.	345	332	02/06/96
A79	5,748,892	05/05/98	Richardson	395	200.3	03/25/96
A80	5,754,830	5/19/98	Butts et al.	395	500	4/1/96
A81	5,757,925	05/26/98	Faybishenko	380	49	07/23/96
A82	5,758,085	05/26/98	Kouoheris et al.	395	200.61	01/08/97

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ATTORNEY DOCKET NO.: CTX-077 (1545/131)

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A83	5,761,507	6/2/98	Govett	395	684	3/5/96
A84	5,764,908	06/09/98	Shoji et al.	395	200.47	07/12/96
A85	5,764,915	6/9/98	Heimsoth et al.	395	200.57	3/8/96
A86	5,767,849	06/16/98	Borgendale et al.	345	335	8/18/95
A87	5,802,258	09/01/98	Chen	395	182.08	05/03/96
A88	5,802,306	9/1/98	Hunt	395	200.58	10/31/95
A89	5,812,784	09/22/98	Watson et al.	395	200.57	04/22/97
A90	5,819,093	10/06/98	Davidson et al.	395	704	03/03/95
A91	5,826,027	10/20/98	Pedersen et al.	395	200.51	10/11/95
A92	5,828,840	10/27/98	Cowan et al.	395	200.33	08/06/96
A93	5,838,906	11/17/98	Doyle et al.	395	200.32	10/17/94
A94	5,838,910	11/17/98	Domenikos et al.	395	200.33	5/14/96
A95	5,838,916	11/17/98	Domenikos et al.	395	200.49	03/14/97
A96	5,844,553	12/01/98	Hao et al.	345	329	03/29/96
A97	5,870,545	2/9/99	Davis et al.	395	200.31	4/4/97
A98	5,874,960	02/23/99	Mairs et al.	345	340	07/05/95
A99	5,877,757	3/2/99	Baldwin et al.	345	336	5/23/97
A100	5,889,942	03/30/99	Orenshteyn	395	187.01	12/18/96
A101	5,913,060	06/15/99	Discavage	395	680	10/11/95
A102	5,923,842	07/13/99	Pedersen et al.	395	188.01	03/06/97
A103	5,928,324	07/27/99	Sloan	709	203	08/20/97
A104	5,938,733	8/17/99	Heimsoth et al.	709	230	5/8/96
A105	5,941,949	8/24/99	Pedersen	709	227	5/14/97
A106	5,941,988	08/24/99	Bhagwat et al.	713	201	01/27/97
A107	5,944,791	8/31/99	Scherpbier	709	218	10/4/96
A108	5,949,975	09/07/99	Batty et al.	709	213	3/12/97
A109	5,951,694	9/14/99	Choquier et al.	714	15	2/3/97
A110	5,961,586	10/05/99	Pedersen	709	201	05/14/97

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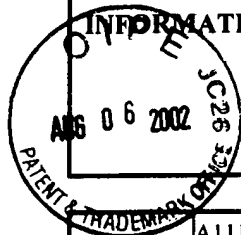
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A111	5,973,696	10/26/99	Agranat et al.	345	357	8/8/97
A112	5,978,848	11/2/99	Maddalozzo, Jr. et al.	709	227	5/14/97
A113	5,999,179	12/7/99	Kekic et al.	345	349	11/17/97
A114	5,999,950	12/7/99	Krueger et al.	707	535	8/11/97
A115	6,023,721	02/08/00	Cummings	709	201	05/14/97
A116	6,034,689	3/7/00	White et al.	345	357	6/3/96
A117	6,088,151	07/11/00	Muir et al.	395	200.47	11/16/98
A118	6,108,712	8/22/00	Hayes, Jr.	709	246	5/5/98
A119	6,157,944	12/5/00	Pedersen	709	204	5/14/97
A120	6,161,126	12/12/00	Wies et al.	709	203	2/2/99

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
	B1	0381645A2	08/08/90	EP	G06F	15/16	01/15/90	N	Y
	B2	0384339A3	08/29/90	EP	G06F	9/46	02/19/90	N	Y
	B3	0414624A2	2/27/91	EP	G06F	9/46	7/5/90	N	Y
	B4	0475581A2	3/18/92	EP	G06F	9/46	8/6/91	N	Y
	B5	0483576 A2	5/6/92	EP	G06F	15/40	10/14/91	N	Y
	B6	0540151 A3	05/5/93	EP	G06F	9/46	9/8/92	N	Y
	B7	0540151A2	05/05/93	EP	G06F	9/46	09/08/92	N	Y
	B8	0643514A3	03/15/95	EP	H04L	12/00	07/28/94	Y	Y
	B9	0648038 A2	4/12/95	EP	H04L	29/06	8/26/94	N	Y
	B10	0732834 A2	9/18/96	EP	H04L	29/06	3/14/96	N	Y
	B11	0767563A2	4/9/97	EP	H04L	29/06	9/19/96	N	Y
	B12	0841615A2	5/13/98	EP	G06F	9/44	9/15/97	N	Y
	B13	0878759A1	11/18/98	EP	G06F	9/44	4/14/98	N	Y
	B14	06332782	12/2/94	JP	H04L	12/56	3/22/94	Y	Y
	B15	06125363	05/06/94	JP	G06F	12/00	10/14/92	Y	Y

FORM PTO - 1449

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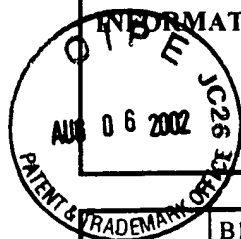
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B16	94/14114	6/23/94	WO	G06F	9/00 13/14	11/29/93	N	Y
B17	93/15457A1	08/05/93	WO	G06F G06F	9/00 9/302 9/38 9/40 15.56	01/21/93	N	Y
B18	97/28623A2	08/07/97	WO	H04L	N/A	01/15/97	N	
B19	97/28623A3	08/07/97	WO	G06F	9/455	01/15/97	Y	
B20	98/52320A2	11/19/98	WO	H04L	12/00	05/14/98	N	Y
B21	98/52344	11/19/98	WO	H04M	15/28 15/22	5/12/98	N	Y

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OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
C1	"Allocation of Equivalent Communication Buffer Sizes in SQLJRA Remote Protocol," <u>IBM Technical Disclosure Bulletin</u> , Vol. 36, No. 1, pp. 29-31, (January, 1993).
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C3	Adler, "Distributed Coordination Models for Client/Server Computing," <u>Computer Magazine</u> , pp. 14-22, (April, 1995).
C4	Application of Equivalent Communication Buffer Sizes in SQLJRA Remote Protocol; IBM Technical Disclosure Bulletin, Vol. 36, No. 1, January 1993; pages 29-31.
C5	Campbell, et al. "Meeting End-to-End QoS Challenges for Scalable Flows in Heterogeneous Multimedia Environments", November 9, 1995; pages 101-115.
C6	Chu et al., Behavior Research Methods, Instruments & Computers; "Creating a Hypertext Markup Language Documents for an Information Server"; Vol. 27, No. 2, pages 200-205 (January 1, 1995).
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C9	Droms "Dynamic Host Configuration Protocol," <u>Network Working Group Request for Comments: 1541</u> , pp. 1-39, (October, 1993).
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C12	IP Multicast Streamlines Delivery of Multicast Applications; Copyright 1995 © Cisco Systems, Inc.; pages 1-5.
C13	Herb, "The Animator Applet (1.0.2) -example 1," http://199.185.96.71/java/Animator/example1.html , printed 6/1/99.
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C15	Multicast Routing; Copyright 1996 © Cisco Systems, Inc.; pages 1-4.
C16	OS/2 EE Database manager SQLJRA Remote Protocol," <u>IBM Technical Disclosure Bulletin</u> , Vol. 36, No. 1, pp. 33-36, (January, 1993).
C17	About Windows NT Networking, Chapter 5, Part 1, "Windows NT Browser"; pages 79-87.
C18	Liu et al. "Efficient Algorithms for Resource Allocation in Distributed and Parallel Query Processing Environments", pages 1-5.
C19	Putz "Interactive Information Services Using Word-Wide Web Hypertext", Computer Networks and ISDN Systems, Elsevier Science B.V., Vol. 27, p. 273-280, 1994.
C20	Schemers "Ibnamed: a load balancing name server written in Perl - Update," http://www-leland.stanford.edu/~docs/ibnamed/ibnamed.html , pp. 1-5, (Sept. 17, 1995).
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